

SUSPICIOUS TRANSACTION REPORT

Completed forms should be forwarded by hand, facsimile or courier to the Financial Intelligence Unit,
3rd Floor Norfolk House, Frederick Street, P.O. Box SB-50086 Nassau, The Bahamas.
Telephone No.: (242) 356-9808 or (242) 356-6327, Facsimile No.: (242) 322-5551

For Official Use Only FIU Reference Number:.....

To: Financial Intelligence Unit – Fax: (242) 322-5551

Date: _____ No. of Pages: _____

NB: Persons who report suspicious transactions are required, pursuant to section 14 of the Financial Transactions Reporting Act, 2000 to provide the Financial Intelligence Unit with the following information:

[A] Disclosing Institution

Disclosure Type:

- Proceeds of Crime
- Drug Trafficking
- Other

Report No.:.....

Type of Transaction:

.....

Name of Disclosing Institution:.....

Full Address:

.....

Sort Code:

Name of Person Handling Transaction:

Name of Money Laundering Reporting Officer/Contact Person:

Direct Telephone No: Fax:

E-mail Address:

[B] Subject(s) of Disclosure - Individual

Full Name (Individual):

Date and Place of Birth:

Occupation:.....

Full Address:

.....

.....

Telephone No. (Work):..... Telephone No. (Home):

Fax: E-mail Address:.....

[C] Subject(s) of Disclosure - Company

Company Name:

Type of Business:.....

Full Address:

.....

Telephone No.:..... Fax No.:.....

E-mail Address:

Identification Documents (e.g., certificate of incorporation, memorandum and articles of association, etc. *if available*):.....

.....

[D] Beneficial Owner(s)

(of the assets being the subject(s) of disclosure – if different from the subject(s) of disclosure above)

Full Name:

Date and Place of Birth (Individual):

Type of Business/Occupation:

Full Address:

.....

.....

.....

Telephone No. (Work):..... Telephone No. (Home):

Fax: E-mail Address:.....

[E] Authorised Signatories

*Information on authorised signatories and/or persons with power of attorney.
(List further persons in an annex in the same manner as required below)*

Full Name (Individual):

Date and Place of Birth (Individual):

Occupation:.....

Full Address:

.....

.....

 Telephone No. (Work):..... Telephone No. (Home):
 Fax: E-mail Address:.....

[F] Intermediaries

Full Name (Individual):
 Occupation:
 Full Address:

 Telephone No. (Work):..... Telephone No. (Home):
 Fax: E-mail Address:.....

[G] Account Information/Activity

Type of Account: (e.g., individual/joint, trust, loan, etc.):
 Account number:
 Date Opened:
 Assets Held:
 Other Accounts Held by any of the Parties Involved:

REASONS FOR SUSPICION

Details of Sums Arousing Suspicion Indicating Debit or Credit Source and Currency Used	Amount	Debit or Credit	Date	Source	Currency

Please describe the details of the transaction(s) and the activity that promoted the report, giving reason for your suspicion and any steps that have already been taken (e.g., own investigations). Include information on any third party(s) involved (e.g., payee, payer, deliverer of checks, stocks, guarantee beneficiary, guarantee surety, third party security creditors). Please add continuation sheets as necessary.

.....

.....
.....
.....
.....
.....
.....
.....
.....
.....
.....
.....
.....
.....
.....
.....

Submitted By: _____ Signature: _____
Position Held: _____

*You are asked to assist with completing the attached statistical analysis,
which will help us to give you feedback – Thank you!*

STATISTICAL INFORMATION

Nature of Institution	Please tick	Grounds for Disclosure? <i>Please tick all that apply</i>	Please tick
Bank		Media / Publicity	
Fund Managers		Internet Research	
Bureaux Des Changes		Group Information	
Stockbrokers		3 rd Party Information	
Financial Advisors		Service of Production, Charging or Monitoring Order	
Insurance Companies		Police enquiry	
Trust Company		Account Activity Not in Keeping with KYC	
Corporate Service Provider		Evidence of Forged Documentation	
Lawyers		Cash Transactions	
Lawyers		Transitory Accounts – Immediate Layering	
Local Regulator		High Risk Jurisdictions	
Other Regulator		Unusual Forex Transactions	
Other (specify)		Purchase and Surrender of Insurance Policy	
		Repeat disclosures	
Trends?		Failure to comply with due diligence/checks	
Involving at least one intermediary		Other (specify)	
Long Standing Customer			
New Customer			
Electronic Banking		What currency was involved?	
EURO Transaction		GBP	
		USD	
		EUR	
Criminality Suspected		ESP	
Drugs		GDM	
Terrorism		ITL	
Fraud		FRF	
Revenue Fraud		IEP	
Insider Dealing		SEK	
Corruption		CHF	
Unknown / undetermined		BSD	
Regulatory Matters		OTHER	
Other			

Completed forms should be forwarded to the Financial Intelligence Unit,
 3rd Floor, Norfolk House, Frederick Street, P.O. Box SB-50086, Nassau, The Bahamas.
 Telephone No: (242) 356-9808 or (242) 356-6327, Fax No. (242) 322-5551